IAP15 Rec'd PCT/PTO 19 SEP 2006

FORM PTO-1390 (REV 2-2005) OMB-0651-0021

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 12150-0002

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

20. ☑ Other items or information: COPY OF NOTIFICATION OF DEFECTIVE RESPONSE

DATE: September 19, 2006

| | | CONCERNING A FILING UNDER 3 | 35 U.S.C. 371 | U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.R. 1.5) 10/565,268 | | | | | | |
|------|-------------|--|---|--|--|--|--|--|--|--|
| | | ATIONAL APPLICATION NO. J2004/000925 | INTERNATIONAL FILING DATE JULY 9, 2004 | PRIORITY DATE CLAIMED JULY 25, 2003 | | | | | | |
| TITL | E O | FINVENTION:TREATMENT OF CHLORAMINA | ATED WATER | | | | | | | |
| APF | PLICA | ANT(S) FOR DO/EO/US: Laszlo KOSKA | | | | | | | | |
| App | lican | t herewith submits to the United States Designated/Ele | ected Office (DO/EO/US) the following i | tems and other information: | | | | | | |
| 1. | | This is a FIRST submission of items concerning a filing | ig under 35 U.S.C. 371. (THE BASIC F | ILING FEE IS ATTACHED) | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. | | This express request to begin national examination protection of the applicable time limit set in 35 U.S. | | | | | | | | |
| 4. | | The US has been elected (Article 31). | | | | | | | | |
| 5. | | A copy of the International Application as filed [35 U.S. a. is transmitted herewith (required only if not trab. has been transmitted by the International Bur c. is not required, as the application was filed in | ansmitted by the International Bureau). reau. | | | | | | | |
| 6. | | An English language translation of the International Application as filed [35 U.S.C. 371(c)(2)]. a. □ is attached hereto. b. □ has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 7. | | Amendments to the claims of the International Applica a. are transmitted herewith (required only if not b. have been transmitted by the International But c. have not been made; however, the time limit d. have not been made and will not be made. | transmitted by the International Bureau ureau. | 1). | | | | | | |
| 8. | | An English language translation of the amendments to | o the claims under PCT Article 19 [35 t | J.S.C. 371(c)(3)]. | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) [35 U.S.C. 37 | 71(c)(4)]. | | | | | | | |
| 10. | | An English language translation of the annexes of the [35 U.S.C. 371(c)(5)]. | International Preliminary Examination | Report under PCT Article 36 | | | | | | |
| Iten | ns 11 | - 20 below concern other document(s) or information i | included: | | | | | | | |
| 11. | | An Information Disclosure Statement under 37 C.F.R. | . 1.97 and 1.98. | | | | | | | |
| 12. | | An assignment document for recording. A separate co | over sheet in compliance with 37 C.F.R | . 3.28 and 3.31 is included. | | | | | | |
| 13. | | A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment | nt. | | | | | | | |
| 14. | | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | |
| 16. | | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in a | accordance with PCT Rule 13ter.2 and | 35 U.S.C. 1.821 - 1.825. | | | | | | |
| 18. | | A second copy of the published international applicati | ion under 35 U.S.C. 154(d)(4). | | | | | | | |
| 19. | П | A second copy of the English language translation of | the international application under 35 L | J.S.C. 154(d)(4). | | | | | | |

| U.S. APPLN. NO. (IF KNOWN, | | | INTERNATIONAL APPLICATION NO. | | ATTORNEY DOCKET NO. 12150-0002 | | |
|--|---|--|---|-------------------------------|--------------------------------|-----------------------------------|-----------------------|
| SEE 37 C.F.R. 1.50) NOT YET ASSIGNED | | | PCT/AU2004/000925 | | | DATE: September 19, 2006 | |
| ☐ The following fees are submitted: | | | | | CALCULATIONS | PTO USE ONLY | |
| 21.) Basic National Fee \$300 | | | | | \$0 | | |
| 22.) Exam | 22.) Examination Fee - If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT | | | | | | |
| | | | | | n l | | |
| Article 33(1)-(4) | | | | | | \$0 | |
| 23.) Search Fee - If Search Fee (37 CFR 1.445(a)(2) has been paid | | | | | | | |
| in the International Application to the USPTO as an International | | | | | | | |
| | Searching Authority | | | | | | |
| | • | | provided to the C | | | \$0 | |
| 7 (ii Oti ici ottadi | | | TOTAL OF 2 | | | \$0 | |
| Additional fee | e for specification | and drawir | ngs filed in paper over | | _ | | |
| (excluding seque | ence listing on co | mputer prog | | | | | |
| medium). The fe thereof. | ee is \$250 for each | ch additiona | I 50 sheets of paper of | r traction | | \$0 | |
| Total Sheets | Extra Sheets | | each additional 50 or fra | | TE | | |
| | | | und up to a whole numb | er) | | \$0 | |
| -100 | /50= | 0 | | | 250 | Ψ 0 | |
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| Claims | Number Fi | led | Number Extra | Rate | | | |
| Total Claims | - 20 = | | 0 | X \$50.00 | 0 | \$0 | |
| Independent Claim | endent Claims - 3 = 0 X \$200.00 \$ 0 | | | | | | |
| | | | | | | | |
| Multiple dependent | t claim(s) (if appli | Haim(s) (if applicable) + \$360.00 \$ 0 TOTAL OF ABOVE CALCULATIONS = \$ 0 | | | | | |
| | | | | | | | |
| Applicant claims s | mail entity status | ity status. See 37 CFR 1.27. Fees above are reduced by ½ \$ 0 SUBTOTAL = \$ 0 for furnishing the English translation later the 30 months | | | | | |
| Brown for the | 0420 00 f f!- | | | | | | |
| Processing fee of s from the earliest cl | aimed priority dat | ning the Eng e [37 C.F.R. | 1.492(f)]. | s so months | | \$0 | |
| | | | TOTAL NATIONAL FEE = \$0 | | | | |
| Fee for recording t | he enclosed assign | signment [37 C.F.R. 1.21(h)]. The assignment must | | | | | |
| property | y an appropriate (| Lover sneet (| | 3.28, 3.31). \$40.00 per \$ 0 | | | |
| | | | TOTAL FEES | ENCLOSE | D = | \$0 | |
| | | | | | | Amount to be refunded Charged | \$ |
| a. A check | in the amount of § | 0 to cover th | ne above fees is enclos | sed. | | | |
| | | | | | | | |
| c. 🛛 The Com | nmissioner is here | by authorize | d to charge any addition | nal fees whi | ich m | ay be required, or credit any o | verpayment to |
| | 's Deposit Account to be charged to a | | | on this form | mav∕b | ecome public. Credit card inform | nation should not be |
| included | on this form. Provi | de credit car | d information and authori | ization on PT | ro-20: | 38. | |
| NOTE: Where as must be | n appropriate time filed and granted | e limit under to restore th | 37 C.F.R. 1.494 or 1.49 e application to pendin | 95 has not b | een n | net, a petition to revive [37 C.F | T.R. 1.137(a) or (b)] |
| SEND ALL CORR | SEND ALL CORRESPONDENCE TO: | | | | | | |
| CLARK & BRODY | | | | | | | |
| CLARK & BRODY 1090 Vermont Avenue, N.W. Christopher W. Brody | | | | | | | |
| Suite 250 Christopher W. Brody Washington, D.C. 20005 Registration No. 33,61 | | | | | tion No. 33,613 | V | |
| | | | | | ptember 19, 2006 | | |
| Customer Number | | | | | | | |



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sex 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/565,268 Laszlo Koska 12150-0002

INTERNATIONAL APPLICATION NO.

PCT/AU04/00925

I.A. FILING DATE PRIORITY DATE

07/09/2004

07/25/2003

22902 **CLARK & BRODY** 1090 VERMONT AVENUE, NW SUITE 250 WASHINGTON, DC 20005

CONFIRMATION NO. 7109 371 FORMALITIES LETTER *OC000000020217938*

Date Mailed: 08/29/2006

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 01/20/2006
- Copy of the International Search Report filed on 01/20/2006
- Preliminary Amendments filed on 01/20/2006
- Information Disclosure Statements filed on 01/20/2006
- Oath or Declaration filed on 06/30/2006
- U.S. Basic National Fees filed on 01/20/2006
- Priority Documents filed on 01/20/2006
- Specification filed on 01/20/2006
- Claims filed on 01/20/2006
- Abstracts filed on 01/20/2006
- Drawings filed on 01/20/2006

Applicant's response filed 06/30/2006 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 05/05/2006 have not been completed.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - does not identify the citizenship of each inventor.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/565,268 | PCT/AU04/00925 | 12150-0002 |

FORM PCT/DO/EO/916 (371 Formalities Notice)